



LOHIA SECURITIES LTD.

■ MEMBER : NSE, BSE ■ DEPOSITORY PARTICIPANT : NSDL, CDSL
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Policy on Pre Funded Instrument

Meaning of Prefunded Instrument- Pay order, Demand Draft, Banker's cheque, etc

In order to curb the inflow of third party funds/unidentified money, which is not in accordance with the provisions of the SEBI circular NO.CIR/MIRSD/03/2011 dated 9th June, 2011 and also affects the integrity of securities market, the following policies are adopted.

- A. If the aggregate value of Pre-funded instruments is Rs.50,000/- or more, per day per client, the instruments can be accepted only if the same are accompanied by the name of the bank account holder and number of the bank account debited for the purpose, duly certified by the issuing bank. The mode of certification may include the following :
- Certificate from the issuing bank on its letterhead or on a plain paper with the seal of issuing bank.
 - Certified copy of the requisition slip (portion which is retained by the bank) to issue the instrument.
 - Certified copy of the passbook/bank statement for the account debited to issue the instrument.
 - Authentication of the bank account-number debited and name of the account holder by the issuing bank on reverse of the instrument.
- B. Further in case of electronic fund transfers, we ensure that the funds are received from the respective clients only and the relevant documentary proof in this regards are duly preserved.

LOHIA SECURITIES LTD.

Sudhansu Kumar
Director



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