

LOHIA SECURITIES LTD.

MEMBER: NSE, BSE, MCX-SX, USE, CSE
 DEPOSITORY PARTICIPANT: NSDL, CDSL



Regd. Office: 4, Biplabi Trailokya Maharaj Sarani, (Brabourne Road), 5th Floor, Kolkata - 700 001
Phone: +91 4002 6600 / 6700, Fax: +91-4002 6800 Website: www.lohiasecurities.com
Email: info@lohiasecurities.com Complaint: grievance@lohiasecurities.com

CIN No. L67120WB1995PLC067195

Date: August 9, 2021

Dear Shareholder,

Sub: Transfer of Equity Shares of the Company to the Investor Education and Protection Fund

Notice is hereby given that, as per Section 124(6) of the Companies Act, 2013 read with IEPF Authority (Accounting, Audit, Transfer and Refund) Rules, 2016, as amended from time to time ("IEPF Rules"), all shares in respect of which dividend has not been claimed for seven consecutive years or more shall be transferred by the Company in the name of Investor Education and Protection Fund ("IEPF").

As per the Data maintained with the Bank, we have observed that you have not claimed / encashed the dividend declared by the Company for the financial year 2012-13 and onwards on your equity shareholding in the Company.

The details of your unpaid / unclaimed dividends have been made available on the Company's website at www.lohiasecurities.com under the investor information category.

Thus, you are advised to stake your claim immediately for such unclaimed / unpaid dividends by sending a letter for claiming dividend together with a letter of indemnity under your signature, specifying your bank account details, the amount of unclaimed dividend, email id, contact no, address for sending dividend demand draft, together with a self-attested copy of your PAN Card; Voter Card / Aadhaar Card / Passport and a cancelled cheque so as to reach the Office of the Registrar and Transfer Agent ("RTA") of the Company, M/s. Niche Technologies Private Limited, 3A Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata- 700017, Tel. No. 033 2280-6616/6617/6618, e-mail nichetechpl@nichetechpl.com or the Registered Office of the Company latest by 15th September, 2021, failing which the Shares held by you will be transferred to the IEPF.

You may note that, in case you do not claim the unpaid dividends, then the Company would issue new share certificate(s) in lieu of the original share certificate(s) and the original certificates, which stand registered in your name will automatically be cancelled and shall be deemed non-negotiable. You may further note that the details uploaded by the Company on its website should be regarded and shall be deemed to be adequate notice in respect of issue of the duplicate share certificate(s) by the Company for the purpose of transfer of shares to IEPF Authority pursuant to the aforesaid Rules.

You may also note that as per present Rules both the unclaimed dividend and the shares transferred to IEPF Authority including all future benefits accruing on such shares, if any, can be claimed back from the IEPF Authority after following the procedure prescribed under the said Rules.

Further, for any query on this matter, you may contact our RTA, M/s. Niche Technologies Pvt. Ltd. Tel. No. 033 2280-6616/6617/6618, e-mail nichetechpl@nichetechpl.com or the Company, Ph.No.033 40026693, e-mail: narendra.rai@lohiasecurities.com by sending letters / e-mail.

For Lohia Securities Limited

Sd/-

Narendra Kumar Rai

Company Secretary cum Compliance Officer

- Institutional Dealing Office : -