



LOHIA SECURITIES LIMITED

CIN :L67120WB1995PLC067195

4 BIPLABI TRAILOKYA MAHARAJ SARANI (BRABOURNE ROAD), 5TH FLOOR, KOLKATA
700 001

Telephone Nos. 033-4002 6600, 4002 6700 Fax: 033-4002 6800

Mail ID narendra.rai@lohiasecurities.com, Website: www.lohiasecurities.com

IMPORTANT & URGENT NOTICE FOR YOUR IMMEDIATE ACTION

SRL NO:

Date : 12/05/2017

To,

Folio No

No. of shares:

Dear Shareholder,

Sub: Compulsory transfer of Equity Shares of the Company to the Investor Education and Protection Fund (IEPF) Suspense Account

As you are aware, dividend declared by the Company is remitted either electronically or by sending dividend warrants to the registered address of the eligible share holders.

As per Section 124(5) of the Companies Act, 2013, all unpaid or unclaimed dividends for a period of seven years are required to be transferred by the Company to the Investor Education and Protection Fund (IEPF) established by the Central Government.

We regularly upload on our website as also on the website of the Ministry of Corporate Affairs, Government of India, full details of such unpaid or unclaimed dividends before transferring to IEPF.

Further, Section 124(6) requires that all shares in respect of which unpaid or unclaimed dividend has been transferred to IEPF, shall also be transferred to IEPF.

Ministry of Corporate Affairs has recently notified Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 which have come into force from September 7, 2016. The said Rules, amongst other matters, contain provisions for transfer of all shares in respect of which dividend has not been paid or claimed for seven consecutive years or more, in the name of IEPF Suspense Account of Lohia Securities Limited



ACTION REQUIRED FROM YOU

You are requested to immediately claim your unpaid dividends and avoid the transfer of your shares to IEPF by sending following documents to us at the address mentioned in this letter, on or before 31st May, 2017.

- Enclosed Letter of Undertaking duly filled and signed
- Self-attested copy of Pan Card and Address Proof
- Copy of Cancelled Cheque

As per the above mentioned rules shares held in physical form are liable to be transferred to IEPF Suspense Account, by issuing duplicate share certificates and upon issue of such duplicate share certificates, the original share certificate(s) which stand registered in your name will be deemed cancelled and non-negotiable.

In case shares are held in demat form and are liable to be transferred to IEPF Suspense Account, the Company will give Delivery Instruction Slip to the Depository for transfer of shares to IEPF.

However, you can claim from IEPF Authority both unclaimed dividend amount and the shares transferred to IEPF Suspense Account by making an application in Form IEPF-5 online and send the physical copy of the same duly signed (as per registered specimen signature) along with requisite documents enumerated in the said Form IEPF-5 to the Company at its Registered Office or to Niche Technologies Pvt. Ltd., RTA of the Company for verification of your claim. We shall send a verification report to IEPF Authority for refund of the unclaimed dividend amount and transfer of the shares back to the credit of the shareholder. As per the above mentioned rules, only one such request can be made in one year.

In case we do not hear anything from you on this intimation letter by 31st May, 2017, we shall, with a view to complying with the requirements of the said Rules, transfer the shares to IEPF Suspense Account by the due date as per procedure stipulated in the Rules, without any further notice. Please note that no claim shall lie against the Company in respect of unclaimed dividend amount and shares transferred to IEPF Authority pursuant to the said Rules.

The IEPF Rules and the application form (Form IEPF-5), as prescribed by the Ministry of Corporate Affairs on the website of the Ministry of Corporate Affairs at www.iepf.gov.in.

Please feel free to contact us in case you have any queries at the address mentioned above.

Thanking you,

Yours faithfully,
For Lohia Securities Ltd.
Sd/-
Narendra Kumar Rai
Company Secretary



SRL NO:

Bank Account Details: (for electronic credit of unpaid dividends)

Name of the Bank

Name of the Branch

Account Number (as appearing in your cheque book)

Account Type Saving Current Others

9 Digit MICR Number (as appearing on the
MICR Cheque issued by the Bank)

Please enclose a photocopy of a Cheque for verification

11 Digit IFSC Code

Witness 1:
Name :
Address :

Witness 2:
Name :
Address :

Signature:

Signature:

Dated:

Warrant No.	Dividend for the year	Dividend Amount (Rs)
-------------	-----------------------	----------------------

Note: For the undertaking to be considered complete, please ensure the name, address and bank details of first holder and joint holder(s), as applicable, are filled correctly and it is duly signed by all the holders and two witnesses.

Please Note: This is a computer generated letter, no Signature Required